Habib Bank AG Zurich

Fill in BLOCK letters and check earrow where appropriate

The Fore	ign Account Tax Compliance Act (FATCA) was signed into U.S. law on March 18, 2010. It is aimed at preventing U.S. ta	xpavers fro	m usina.	
accounts	is held outside of the U.S. to evade taxes. Any financial institution that fails to comply with FATCA will face a 30% withhe U.S. sourced payments to its clients.			
Under U.	S. federal tax law, Habib Bank AG Zurich is required to request certain taxpayer information from persons who mai			
	ink AG Zurich (whether such persons are U.S. taxpayers or not). Information collected will be used solely to fulfill Habi ients under FATCA and will not be used for other purpose.	b Bank AG	Zurich's	
Date	month year			
	Branch			
Inited A	Branch, rab Emirates.			
Shired A				
Custom	$\begin{array}{c c} 0 & 2 \\ \hline 2 & digits \end{array}$			
Account	t title			
	ACCOUNT HOLDER <sup>®</sup>			
Person's role in account: Signatory POA Shareholder / Partner UBO / Controller				
Full na				
	first name			
Date o	f birth day month year Country of birth			
Country of residence Nationaity <sup>3</sup>				
Tax Payer Identification (TIN) number				
Address of domicile:				
office / suite / shop location / area				
P.O. Bo	x/Postal/Zip code City State/Province			
Country Email				
Tel (Off	) + multiple de la contra code la contra co			
Fax	+ Mobile + Mobile +			
Fax	country code / area code country code / area code			
Non II (	hereinafter refe) 5. Person' or 'U.S. Person', the undersigned Client hereby makes and confirms the following declarati			
	ration Of Status (Individuals)		Dank.	
	ard to the above relationship, please check the appropriate box:			
a)	Are you a U.S. citizen? (sole or dual citizenship)	□ Yes	🗆 No	
b)	Are you a <b>'U.S. resident alien'</b> ?	□ Yes	🗆 No	
c)	( <i>lawful permanent resident, e.g., 'green card' holder, or anyone who meets the 'substantial physical presence'</i> test <sup>(4)</sup> ) Are you a ' <b>U.S. Person'</b> under U.S. tax principles for any other reason?	Yes	🗆 No	
(e.g., dual residency, spouse filing jointly, long-term permanent residency in the U.S., others)				
I.	(If you have selected `Yes' for (a), (b) or (c) then complete a separate W-9 form) d) Were you born in the United States or a U.S. territory? □ Yes □			
<ul> <li>d) Were you born in the United States or a U.S. territory?</li> <li>If you were born in the United States or a U.S. territory but nonetheless believe that you are not a 'U.S. Person' under</li> </ul>				
U.S. tax principles, please submit a copy of your Certificate of Loss of Nationality, together with a W-8Ben form and a copy of your non-US passport.				
	Please state the reason:			

## 2. Required Documentation

If any of the questions above is answered with yes, Habib Bank AG Zurich will request the Client to immediately complete the IRS W-9 Form confirming the US Tax status. The undersigned Client hereby consents that in such a case, relevant account and/or personal information is released to the US Tax authorities.

## **3.Beneficial Ownership**

The undersigned Client hereby declares that either he/she is the beneficial owner according to U.S. tax principles of the assets and income to which this form relates or, if another (*additional*) beneficial owner(*s*), no such other beneficial owner is a **'U.S. Person'** under U.S. tax principles for whom a 'Yes' box would have to be checked under point 1. above.

#### 4. Change Of Circumtances In Status As Non-U.S. Person

For the duration of the contractual relationship with the Bank, the undersigned Client undertakes to notify the Bank, at his/her own initiative and within 30 days, if his/her status (and/or the status of any other (additional) beneficial owner of the relationship) as a Non-U.S. Person under U.S. tax principles changes to the status of a U.S. Person under U.S. tax principles.

# 5.Subsequent discovery of Status as a U.S. Person/agreement to sell U.S. Securities under deduction of U.S. Backup

#### Withholding Tax

If for whatever reason, this Declaration is or becomes inaccurate after its filling with the Bank due to a change in the circumstances regarding the Client's (*or another beneficial owner's*) status from a Non-U.S. Person to a U.S. Person, and/or late discovery of the fact that, notwithstanding this Declaration, the Client (*or another beneficial owner*) is or has become a U.S. Person under U.S. tax principles, and If, at that time, the Client does not agree to file a valid IRS Form W-9 with the Bank, the undersigned Client hereby irrevocably instructs the Bank to sell all U.S. investments falling hereunder in the Client's safekeeping account(*s*), if any, with the Bank, following standard business practice and without prior notice, and to deduct and to remit to the IRS the relevant withholding tax at the then applicable rate on the gross proceeds of such investments, as provided for under the Qualified intermediary Agreement and FFI Agreement concluded between the Bank and the U.S. Internal Revenue Service.

The undersigned Client expressly and without any limitation herewith waives any claims for damages and will indemnify the Bank for any liability in connection with the sale of his/her U.S. investments pursuant to the application of this provision.

Applicant Signature :				
Place :				
Date : day month year				
<ul> <li>and sign separate forms.</li> <li>List all nationalities, if more than one.</li> <li>Physical presence in the United States on at least 31 days during the current</li> </ul>	to operate the account, if in name of more than one holder, each holder must fill out t year, and 183 days during the 3 year period that includes the current year and the se purposes, please consult the IRS website: http://www.irs.gov/taxtopics/tc851.html			
FOR OFFICE USE				
I confirm that the 'Self-Certification of U.S Person Status form' has been checked and no US indicia was found on the Account holder.	The documents & 'Self-Certification of U.S Person Status form' has been reviewed and is in order, No US indicia was found on the Account holder.			
Relationship Manager / Branch Officer	Centralized Account Opening			
Signature	Signature			
Name Date day month year	Name Date			