

PART 2 - TAX RESIDENCY (... continued)

A: For Individuals who are tax residents of jurisdictions other than US:

If a TIN is unavailable, please provide the appropriate reason A, B or C:

Reason A – The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.

Reason B – The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason.

Reason C – TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.

For the purposes of taxation, I am tax resident in the following countries and my Tax Identification Number (TIN) in each additional country is set out below or I have indicated that a TIN is unavailable:

S.NO	COUNTRY OF RESIDENCY FOR TAX PURPOSE	TAX IDENTIFICATION NUMBER (TIN) or a FUNCTIONAL EQUIVALENT	IF NO TIN IS AVAILABLE, ENTER REASON A, B OR C
1)			
2)			
3)			

If Reason B is selected above, please explain why account holder is unable to obtain a TIN: _____

B: If you have selected UAE as your Tax Residency, Please complete below 5 questions:

- 1) Is your current UAE Residency permit / Emirates ID for 05 years or more? Yes No
- 2) Have you obtained your UAE tax Residency under a Residency by Investment Scheme? Yes No
- 3) Have you spent less than 90 days in UAE during the previous 12 months? Yes No
- 4) Are you a resident in any other Jurisdiction(s)? Yes No (If yes, name of jurisdiction _____)
- 5) In which jurisdiction(s) have you been subject to personal Income Tax during the previous calendar year? _____

If any of the above questions is answered as 'Yes', please provide Country of Tax Residence and related Tax Payer Identification Number (TIN) in **Part 2 A** or reasonable explanation why UAE is the only Tax Residency jurisdiction _____

NOTE: The Relationship Manager must conduct Enhance due diligence to confirm the plausibility of UAE Tax Residency declared by the client.

PART 3 – DECLARATIONS AND UNDERTAKINGS

- 1) I acknowledge and agree that (a) the information contained in this form is collected and may be kept by the Bank for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account may be reported by the Bank to the UAE Central Bank and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information.
- 2) I understand and acknowledge that the Bank may provide, directly or indirectly, a copy of this form and information regarding income paid or credited to or for the benefit of the account(s) set out above to: (i) any person that has control, receipt, or custody of income to which this form relates; (ii) any person that can disburse or make payments of income to which this form relates; or (iii) any party authorised to audit or conduct a similar control of aforementioned persons for tax purposes.
- 3) I certify that, I am the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or, I am using this form to document myself as an individual that is an owner of an account held at the Bank.
- 4) I confirm that all the assets deposited with the Bank under the above indicated banking relationship are fully declared and subject to regular income / wealth taxation in accordance with the relevant tax regulations and that any and all transactions, in which the Bank is to provide banking services, are effected for legitimate reasons and do not form or intend to form part of a scheme or an arrangement for which the main purpose, or one of the purposes, is the illegal avoidance of tax liability in the relevant tax jurisdiction(s).
- 5) I undertake to advise the Bank of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide the Bank with a suitably updated self-certification form within 30 days of such change in circumstances. Further, I understand and acknowledge that reporting and/or disclosure consequences may occur, if I fail to comply with my obligations to submit the necessary forms and/or documentation following a change in circumstances.
- 6) **I declare that all statements made in this self-certification form are true, correct and complete. I further understand that it is an offence, subject to a fine in the amount of AED 20,000 (Dirhams twenty thousand) under section 7(A) of the relevant Guidance Notes for the Common Reporting Standard (CRS) issued by the Central Bank of the UAE, if the self-certification contains any inaccurate or incorrect information and that the Bank is required by the same regulations to notify the relevant regulatory authority of such violations.**

_____ Place _____ Date
authorized signatory day month year

FOR OFFICE USE

Reviewed by:

I confirm that the CRS form / Tax residency declared by client is in line with KYC profile of the client, Supporting documents and Plausibility check has been conducted, validating the tax residency. I further confirm that I have no reason to believe that the tax residency declared by client is incorrect.

Relationship Manager / Branch Officer

Signature _____

Name _____

Date
day month year

Checked by:

Documents to support plausibility check & tax residency of client have been reviewed and is in order, TIN format has been validated as per OECD website.

Centralized Account Opening

Signature _____

Name _____

Date
day month year

